

**FOREIGN PRODUCERS'/EXPORTERS' QUESTIONNAIRE**

**FERROSILICON FROM RUSSIA AND VENEZUELA**

This questionnaire must be received by the Commission by no later than **May 29, 2014**

*See the last page of this questionnaire for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping investigations concerning ferrosilicon from Russia and Venezuela (inv. Nos. 731-TA-1224-1225 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII.

<p><b>Name of firm</b> _____</p> <p><b>Address</b> _____</p> <p><b>World Wide Web address</b> _____</p> <p>Has your firm produced or exported ferrosilicon (as defined on the next page) at any time since January 1, 2011?</p> <p><input type="checkbox"/> <b>NO</b> (Sign the certification below and promptly return only this page of the questionnaire to the Commission)</p> <p><input type="checkbox"/> <b>YES</b> (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission. Firms operating more than one establishment in a single country should combine the data for all establishments into a single report).</p> <p>Data reported in this questionnaire relate to (Check one):</p> <p><input type="checkbox"/> <b>RUSSIA</b>                      <input type="checkbox"/> <b>VENEZUELA</b></p> <p><b>Return questionnaire via the U.S. International Trade Commission Drop Box by clicking on the following link: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a>. (use the following PIN: <b>FERR</b>)</b></p>
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**CERTIFICATION**

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.*

*By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.*

*I acknowledge that information submitted in this questionnaire response and throughout this proceeding may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this proceeding or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.*

_____ <i>Name of Authorized Official</i>	_____ <i>Title of Authorized Official</i>	_____ <i>Date</i>
_____ <i>Signature</i>	_____ <i>Phone:</i>	_____ <i>Email address</i>
	_____ <i>Fax:</i>	

**PART I.—GENERAL INFORMATION**

**Background.**

This proceeding was instituted in response to a petition filed on July 19, 2013, by Globe Specialty Metals, Inc., New York, NY; CC Metals and Alloys, LLC, Calvert City, KY, the United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union; and the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America.

Antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce makes an affirmative determination of dumping.

**Merchandise covered by these investigations.**

**“Ferrosilicon”** covered by these investigations is all forms and sizes of ferrosilicon, regardless of grade, including ferrosilicon briquettes. Ferrosilicon is a ferroalloy containing by weight four percent or more iron, more than eight percent but not more than 96 percent silicon, three percent or less phosphorus, 30 percent or less manganese, less than three percent magnesium, and 10 percent or less any other element. The merchandise covered also includes product described as slag, if the product meets these specifications.

Ferrosilicon is currently classified under U.S. Harmonized Tariff Schedule (“HTS” subheadings 7202.21.1000, 7202.21.5000, 7202.21.7500, 7202.21.9000, 7202.29.0010, and 7202.29.0050. Although the HTS subheadings are provided for convenience and customs purposes, the written description of the merchandise is dispositive.

**Service of questionnaire response(s).**--In the event that your firm is a party to this proceeding, you are required to serve a copy of the questionnaire(s), once completed, on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties is maintained by the Commission's Secretary and may be obtained by calling 202-205-1803. A certificate of service must accompany the copy of the completed questionnaire(s) you submit (see 19 CFR § 207.7).

**Confidentiality.**--The commercial and financial data furnished in response to the enclosed questionnaire(s) that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

**Verification.**--The information submitted in the enclosed questionnaire(s) is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all of your files, worksheets, and supporting documents used in the preparation of the questionnaire response(s).

**Release of information.**--The information provided by your firm in response to the questionnaire(s), as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form. We are also interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your firm's response or send them to the above address.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-2. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

**“Establishment”**--Each facility of a firm involved in the production, importation, and/or purchase of the subject product, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

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I-3. **U.S. importers.**--Please provide the names, street addresses (not P.O. boxes), contacts, telephone numbers, and e-mail addresses of the **FIVE** largest U.S. importers of your firm's ferrosilicon in 2013.

Importer's name	Contact person	Email	Telephone	Street address (not P.O. box), city, state, and zip code	Share of your firm's 2013 U.S. exports (%)
1				Street Address	
2				Street Address	
3				Street Address	
4				Street Address	
5				Street Address	

I-4. **Related U.S. producers**--Does your firm or any related firm produce, have the capability to produce, or have any plans to produce ferrosilicon in the United States or other countries?

- No                       Yes--Please name the firm(s) and country(ies) below and, if U.S. producer(s), ensure that they complete the Commission's producer questionnaire.

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I-5. **Related U.S. importers**--Does your firm or any related firm import or have any plans to import ferrosilicon into the United States?

- No                       Yes--Please name the firm(s) below and ensure that they complete the Commission's importer questionnaire

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**PART II.--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from Chris Cassise (202-708-540, chris.cassise@usitc.gov). Supply all data requested on a calendar-year basis.

II-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of ferrosilicon since January 1, 2011.

- (check as many as appropriate)*                      *(please describe)*
- plant openings ..... \_\_\_\_\_
  - plant closings..... \_\_\_\_\_
  - relocations ..... \_\_\_\_\_
  - expansions..... \_\_\_\_\_
  - acquisitions..... \_\_\_\_\_
  - consolidations..... \_\_\_\_\_
  - prolonged shutdowns or  
production curtailments..... \_\_\_\_\_
  - revised labor agreements..... \_\_\_\_\_
  - other (*e.g.*, technology) ..... \_\_\_\_\_

II-3. **Anticipated changes in operations.**--Does your firm anticipate any changes in the character of its operations or organization (as noted above) relating to the production of ferrosilicon in the future?

- No                       Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue.

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II-4a. **Production and capacity.**--Please report your firm's production of ferrosilicon, production of products made on the same equipment and machinery used to produce ferrosilicon, and the combined production capacity on this shared equipment and machinery in the periods indicated. Quantities of ferrosilicon products are to be reported on a contained-silicon basis.

**“Overall production capacity”** is defined as the level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. When computing overall production capacity assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

**“Production”** is defined as all production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

**“75 percent Ferrosilicon”** a ferrosilicon product that contains 74-79 percent silicon.

**“50 percent Ferrosilicon”** a ferrosilicon product that contains 47-51 percent silicon.

**“Other in-scope Ferrosilicon products”** a ferrosilicon product that does not meet the requirements of 75 or 50 percent ferrosilicon, defined above, yet is a ferrosilicon product within the scope of these investigations.

(Quantity in short tons of contained silicon)					
Item	2011	2012	2013	January-March	
				2013	2014
<b>Overall production capacity</b>					
<b>Production of:</b>					
Subject ferrosilicon:					
75% Ferrosilicon					
50% Ferrosilicon					
Other in-scope ferrosilicon products <sup>2</sup>					
Total production of subject Ferrosilicon <sup>1</sup>	0	0	0	0	0
Products not within the scope <sup>2</sup>					
Total production on FeSi machinery and equipment	0	0	0	0	0
<sup>1</sup> Ferrosilicon production should equal production data reported in II-11.					
<sup>2</sup> Please identify: _____					

II-4b. **Operating parameters.**--The overall production capacity reported in II-4a is based on operating \_\_\_\_\_ hours per week, \_\_\_\_\_ weeks per year.

II-4c. **Capacity calculation.**--Please describe the methodology used to calculate overall production capacity reported in II-4a, and explain any changes in reported capacity.

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II-4d. **Production constraints.**--Please describe the constraint(s) that set the limit(s) on your firm's production capacity.

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**II-5. Product shifting.**—

(i) Is your firm able to switch production (capacity) between ferrosilicon and other products using the same equipment and/or labor?

No                       Yes-- (i.e., have produced other products or are able to produce other products). Please identify other actual or potential products: \_\_\_\_\_

(ii) Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

\_\_\_\_\_

\_\_\_\_\_

**II-6. Inventories in the United States.**--Has your firm, since 2011, maintained any inventories of ferrosilicon in the United States (not including inventories held by firms identified in question I-3)? Quantities of ferrosilicon products are to be reported on a contained-silicon basis.

No                       Yes--Report the quantity of such end-of-period inventories below.

(Quantity in short tons of contained silicon)					
Item	2011	2012	2013	January-March	
				2013	2014
Inventory					

**II-7. Share of sales.**--What percentage of your firm's total sales in its most recent fiscal year was represented by sales of ferrosilicon?

(a) Sales of ferrosilicon accounted for \_\_\_\_\_ percent of your firm's total sales in your firm's most recent fiscal year.

**II-8. Share of total production.**--Please estimate what percentage of total production of ferrosilicon in Russia and/or Venezuela is accounted for by your firm's total production of ferrosilicon in 2013? \_\_\_\_\_ percent.

**II-9. Share of total exports.**--Please estimate what percentage of total exports to the United States of ferrosilicon from Russia and/or Venezuela is accounted for by your firm's total exports of ferrosilicon in 2013? \_\_\_\_\_ percent.

**II-10. Third country trade actions.**--Is the ferrosilicon exported by your firm subject to any other antidumping, countervailing duty, or safeguard findings, remedies, or proceedings in any country besides the United States?

No                       Yes--List the products(s), countries affected, and the date of such findings/remedies/proceedings.

\_\_\_\_\_

\_\_\_\_\_

II-11. **Trade data.**--Report your firm's production capacity, production, shipments, and inventories related to the production of ferrosilicon in your establishment(s) in Russia or Venezuela during the specified periods. Do not submit data on multiple countries combined, the establishments reported here should all be located in the country of the firm's address reported on the certification page. Multinational companies with production in multiple subject countries should submit separate foreign producer questionnaire responses for each subject country. Quantities of ferrosilicon products are to be reported on a contained-silicon basis.

Quantity (in short tons of contained silicon)							
Item	Actual experience					Projections <sup>1</sup>	
			January-March				
	2011	2012	2013	2013	2014	2014	2015
Average production capacity <sup>2</sup> (A)							
Beginning-of-period inventories <sup>3</sup> (B)							
Production (C)							
Home market shipments:							
Internal consumption/transfers (D)							
Commercial shipments (E)							
Exports to the United States (F)							
Exports to all other markets <sup>4</sup> (G)							
Total exports (H) (should equal F+G)	0	0	0	0	0	0	0
Total shipments (I) (should equal D+E+F+G)	0	0	0	0	0	0	0
End-of-period inventories (J)							

<sup>1</sup> Please explain the basis for your firm's projections. \_\_\_\_\_

<sup>2</sup> The production capacity reported is based on operating \_\_\_\_\_ hours per week, \_\_\_\_\_ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity. \_\_\_\_\_

<sup>3</sup> Reconciliation of data.—The data should reconcile as follows: **B + C - I = J**. Do these data reconcile?  Yes  No

Also, end-of-period inventories should equal the beginning-of-period inventories reported in the subsequent calendar year (i.e., line J of year 2011 should equal line B of year 2012). Do these data reconcile?  Yes  No

If your firm's data do not reconcile, please explain: \_\_\_\_\_

<sup>4</sup> Identify principal other export markets. \_\_\_\_\_



# OPTIONS FOR FILING THIS QUESTIONNAIRE RESPONSE WITH THE ITC

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

[http://www.usitc.gov/trade\\_remedy/731\\_ad\\_701\\_cvd/investigations/2014/ferrosilicon\\_russia\\_venezuela/finalphase.htm](http://www.usitc.gov/trade_remedy/731_ad_701_cvd/investigations/2014/ferrosilicon_russia_venezuela/finalphase.htm)

*Please do not attempt to modify the format or permissions of the questionnaire document.* Please complete the questionnaire and submit it electronically using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the completed questionnaire in MS Word format along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

*Web address:* <https://dropbox.usitc.gov/oinv/> *Pin:* **FERR**

- **E-mail.**—E-mail your questionnaire to the investigator identified on page 4 of this questionnaire; include a scanned copy of the signed certification page (page 1).

*Please note that submitting your questionnaire by e-mail may subject your firm’s business proprietary information to transmission over an unsecure environment and to possible disclosure. If you choose this option, the Commission warns you that any risk involving possible disclosure of such information is assumed by the submitter and not by the Commission.*

- **Compact disc (CD).**—Copy your MS Word questionnaire onto a CD. Also please include a signed certification page (page 1), and mail to the U.S. International Trade Commission, 500 E. Street, SW, Washington, DC 20024. *It is strongly recommended that you use an **overnight mail service**. U.S. mail sent to government offices undergoes additional processing which not only results in substantial delays in delivery but may also damage CDs.*

Note: If you are a party to the proceeding, and service of the questionnaire is required, such service should be made in paper form.

**If you determine that your firm does not produce or import this product**, please complete page 1 of the respective questionnaire by: (1) Filling in your name and address, (2) Checking the “no” box, (3) Signing the bottom of page 1, and (4) Returning page 1 to the Commission using any of the methods listed above.