

Business Proprietary

OMB No. 3117-0016/USITC No. 03-4-2006; Expiration Date: 6/30/05
(No response is required if currently valid OMB control number is not displayed)

Ball Bearings from China
(Investigation No. 731-TA-989 (Final))

CERTIFICATION

The undersigned certifies that the information herein supplied in response to this questionnaire is complete and correct to the best of his/her knowledge and belief and understands that the information submitted is subject to audit and verification by the Commission.

Your signature on the certification below will also serve as consent for the Commission, and its employees and contract personnel, to use the information you provide in this questionnaire and throughout this investigation in any other import-injury investigations conducted by the Commission on the same or similar merchandise. If you do not consent to such use, please note the certification accordingly.

The undersigned acknowledges that information submitted in this questionnaire response and throughout this investigation may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this investigation or related proceedings for which this information is submitted, or in internal audits and investigations relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

Name and Title of Authorized Official

Date

Signature of Authorized Official

() _____
Phone

() _____
Fax

E-mail address

FIRM NAME AND ADDRESS

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW., Washington, DC 20436. Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

_____ hours _____ dollars

OPERATIONS IN CHINA

- 1. Please report production capacity, production, shipments, and inventories of ball bearings and parts thereof produced by your firm in China on pages 5-9.
- 2. What percentage of your firm's total sales in its most recent fiscal year was represented by sales of ball bearings and parts thereof?
_____ Percent

- 3. Does your firm produce products other than ball bearings and parts thereof on the same equipment and machinery used in the production of ball bearings and parts thereof?
 No Yes--Please list these product(s) and provide the share of your firm's total net sales (in percent) accounted for by these product(s) in its most recent fiscal year.

<i>Product(s)</i>	<i>Share of sales</i>
_____	_____
_____	_____
_____	_____

- 4. Does your firm have any plans to add, expand, curtail, or shut down production capacity and/or production of ball bearings and parts thereof in China?
 No Yes--Please describe those plans, including planned dates and capacity/production quantities involved.

OPERATIONS IN CHINA--Continued

5. Are the ball bearings and parts thereof exported by your firm subject to antidumping findings or remedies in any WTO-member countries?

No Yes--List the products(s), countries affected and the date of such findings/remedies.

<i>Product</i>	<i>Country</i>	<i>Date</i>
_____	_____	_____
_____	_____	_____
_____	_____	_____

6. What percentage of your exports of complete ball bearings to the United States in 2002 had an ABEC or EMQ rating?

ABEC _____% EMQ _____%

OPERATIONS IN THE UNITED STATES

1. Please provide the names and addresses of the **FIVE** largest U.S. importers of your firm's ball bearings and parts thereof in **2002** and describe any affiliations between these importers and your firm.

2. Does your firm or any related firm produce, have the capability to produce, or have any plans to produce ball bearings and parts thereof in the United States?

No Yes--Please name the firm(s) below and ensure that they complete the Commission's producer questionnaire (contact Fred Ruggles (202-205-3187 or *fruggles@usitc.gov*) for copies of that questionnaire).

OPERATIONS IN THE UNITED STATES—Continued

3. Does your firm or any related firm import or have any plans to import ball bearings and parts thereof into the United States?

No Yes--Please name the firm(s) below and ensure that they complete the Commission's importer questionnaire (contact Fred Ruggles (202-205-3187 or *fruggles@usitc.gov*) for copies of that questionnaire).

4. Has your firm maintained any inventories of ball bearings and parts thereof in the United States (not including inventories held by firms identified in questions 1, 2, or 3 above¹) since 2000?

No Yes--Report the quantity (in 1,000 bearings or units) of such **end-of-period** inventories below.

Item	2000	2001	2002
Complete ball bearings			
Ball bearing BALLS			
Other ball bearing PARTS			

5. Does your firm sell ball bearings and parts thereof over the Internet?

No Yes--Please describe, noting the estimated percentage of your firm's total sales of ball bearings and parts thereof in 2002 accounted for by internet sales.

¹ Such firms will report inventories in the Commission's importer or producer questionnaire.

**Production Capacity, Production, Shipments, and Inventories of COMPLETE Ball Bearings
Produced by Your Firm in China**

(Quantity in 1,000 bearings and value in 1,000 dollars)					
Item	Actual experience			Projections	
	2000	2001	2002	2003	2004
AVERAGE PRODUCTION CAPACITY¹					
BEGINNING-OF-PERIOD INVENTORIES²					
PRODUCTION³					
SHIPMENTS:					
Internal consumption/transfers					
Quantity					
Value					
Home market sales					
Quantity					
Value					
Exports to--					
United States⁴					
Quantity					
Value					
All other export markets⁵					
Quantity					
Value					
Total exports					
Quantity					
Value					
Total shipments					
Quantity					
Value					
END-OF-PERIOD INVENTORIES					
<p>¹ Report the level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix). Please explain any changes in reported capacity:</p>					
<p>² Reconciliation of data.--Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____</p>					
<p>³ Please estimate the percentage of total production of ball bearings in China accounted for by your firm's production in 2002. _____ Percent</p>					
<p>⁴ Please estimate the percentage of total exports to the United States of ball bearings from China accounted for by your firm's exports in 2002. _____ Percent</p>					
<p>⁵ Identify principal <i>other</i> export markets. _____</p>					

Production Capacity, Production, Shipments, and Inventories of ball bearing BALLS including FINISHED and UNFINISHED ball bearing balls that are EITHER HEAT-TREATED OR DO NOT REQUIRE HEAT-TREATMENT, Produced by Your Firm in China

(Quantity in 1,000 balls and value in 1,000 dollars)					
Item	Actual experience			Projections	
	2000	2001	2002	2003	2004
AVERAGE PRODUCTION CAPACITY¹					
BEGINNING-OF-PERIOD INVENTORIES²					
PRODUCTION³					
SHIPMENTS:					
Internal consumption/transfers					
Quantity					
Value					
Home market sales					
Quantity					
Value					
Exports to--					
United States⁴					
Quantity					
Value					
All other export markets⁵					
Quantity					
Value					
Total exports					
Quantity					
Value					
Total shipments					
Quantity					
Value					
END-OF-PERIOD INVENTORIES					
<p>¹ Report the level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix). Please explain any changes in reported capacity:</p> <hr/> <p>² Reconciliation of data.--Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____</p> <hr/> <p>³ Please estimate the percentage of total production of ball bearing balls in China accounted for by your firm's production in 2002.</p> <p align="right">_____ Percent</p> <p>⁴ Please estimate the percentage of total exports to the United States of ball bearing balls from China accounted for by your firm's exports in 2002.</p> <p align="right">_____ Percent</p> <p>⁵ Identify principal <i>other</i> export markets. _____</p>					

Production Capacity, Production, Shipments, and Inventories of UNFINISHED ball bearing BALLS THAT WILL BE SUBJECT TO HEAT TREATMENT AFTER IMPORTATION INTO THE UNITED STATES

Produced by Your Firm in China

(Quantity in 1,000 balls and value in 1,000 dollars)					
Item	Actual experience			Projections	
	2000	2001	2002	2003	2004
AVERAGE PRODUCTION CAPACITY¹					
BEGINNING-OF-PERIOD INVENTORIES²					
PRODUCTION³					
SHIPMENTS:					
Internal consumption/transfers					
Quantity					
Value					
Home market sales					
Quantity					
Value					
Exports to--					
United States⁴					
Quantity					
Value					
All other export markets⁵					
Quantity					
Value					
Total exports					
Quantity					
Value					
Total shipments					
Quantity					
Value					
END-OF-PERIOD INVENTORIES					

¹ Report the level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix). Please explain any changes in reported capacity:

² Reconciliation of data.--Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

Yes No--Please explain: _____

³ Please estimate the percentage of total production of ball bearing balls in China accounted for by your firm's production in 2002.
_____ Percent

⁴ Please estimate the percentage of total exports to the United States of ball bearing balls from China accounted for by your firm's exports in 2002.
_____ Percent

⁵ Identify principal *other* export markets. _____

Production Capacity, Production, Shipments, and Inventories of ball bearing PARTS other than BALLS, including FINISHED and UNFINISHED ball bearing parts that are EITHER HEAT-TREATED OR DO NOT REQUIRE HEAT-TREATMENT, Produced by Your Firm in China

(Quantity in 1,000 units and value in 1,000 dollars)					
Item	Actual experience			Projections	
	2000	2001	2002	2003	2004
AVERAGE PRODUCTION CAPACITY¹					
BEGINNING-OF-PERIOD INVENTORIES²					
PRODUCTION³					
SHIPMENTS:					
Internal consumption/transfers					
Quantity					
Value					
Home market sales					
Quantity					
Value					
Exports to--					
United States⁴					
Quantity					
Value					
All other export markets⁵					
Quantity					
Value					
Total exports					
Quantity					
Value					
Total shipments					
Quantity					
Value					
END-OF-PERIOD INVENTORIES					
<p>¹ Report the level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix). Please explain any changes in reported capacity:</p> <hr/> <p>² <u>Reconciliation of data</u>--Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile? <input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____</p> <hr/> <p>³ Please estimate the percentage of total production of ball bearing parts in China accounted for by your firm's production in 2002. _____ Percent</p> <p>⁴ Please estimate the percentage of total exports to the United States of ball bearing parts from China accounted for by your firm's exports in 2002. _____ Percent</p> <p>⁵ Identify principal <i>other</i> export markets. _____</p>					

Production Capacity, Production, Shipments, and Inventories of UNFINISHED ball bearing PARTS other than BALLS THAT WILL BE SUBJECT TO HEAT TREATMENT AFTER IMPORTATION INTO THE UNITED STATES
Produced by Your Firm in China

(Quantity in 1,000 units and value in 1,000 dollars)

Item	Actual experience			Projections	
	2000	2001	2002	2003	2004
AVERAGE PRODUCTION CAPACITY¹					
BEGINNING-OF-PERIOD INVENTORIES²					
PRODUCTION³					
SHIPMENTS:					
Internal consumption/transfers					
Quantity					
Value					
Home market sales					
Quantity					
Value					
Exports to--					
United States⁴					
Quantity					
Value					
All other export markets⁵					
Quantity					
Value					
Total exports					
Quantity					
Value					
Total shipments					
Quantity					
Value					
END-OF-PERIOD INVENTORIES					

¹ Report the level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix). Please explain any changes in reported capacity:

² Reconciliation of data.--Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

Yes No--Please explain: _____

³ Please estimate the percentage of total production of ball bearing parts in China accounted for by your firm's production in 2002.
_____ Percent

⁴ Please estimate the percentage of total exports to the United States of ball bearing parts from China accounted for by your firm's exports in 2002.
_____ Percent

⁵ Identify principal *other* export markets. _____