

### INSTRUCTION BOOKLET

# GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS FOR COMMISSION FOREIGN PRODUCER/EXPORTER QUESTIONNAIRES

## Brake Rotors from China Investigation No. 731-TA-744 (Second Review)

<u>Further information</u>.--If you have any questions concerning the enclosed questionnaire(s) or other matters related to this review, you may contact the following members of the Commission's staff (Fax 202-205-3205):

*Mary Messer*, investigator (202-205-3193; E-mail mary.messer@usitc.gov) regarding general questions and trade and related information;

Catherine DeFilippo, economist (202-205-3253; E-mail catherine.defilippo@usitc.gov) regarding market related information.

#### **GENERAL INFORMATION**

**Background.**—On April 17, 1997, the Department of Commerce issued an antidumping duty order on imports of aftermarket brake rotors from China (62 F.R. 18740). Following five-year reviews by Commerce and the Commission, effective August 14, 2002, Commerce issued a continuation of the antidumping duty order on imports of aftermarket brake rotors from China (67 F.R. 52933). On July 2, 2007, the Commission instituted a review pursuant to section 751(c) of the Tariff Act of 1930 (19 U.S.C. § 1675(c)) (the Act) to determine whether revocation of the order would be likely to lead to continuation or recurrence of material injury to the domestic industry within a reasonably foreseeable time (72 F.R. 36037). If the Commission makes an affirmative determination, the order will remain in place. If the Commission makes a negative determination, the Department of Commerce will revoke the order.

Additional questionnaires will be supplied promptly upon request, or photocopies of the enclosed questionnaire(s) may be used. Questionnaires and other information pertinent to this review are available at

http://www.usitc.gov/trade\_remedy/731\_ad\_701\_cvd/investigations/2007/brake\_rotors/reviewph ase.htm.

Address all correspondence to the United States International Trade Commission, Washington, DC 20436. Hearing-impaired individuals can obtain information regarding this review via the Commission's TDD terminal (202-205-1810).

<u>Due date of questionnaire(s)</u>.--Return the completed questionnaire(s) to the United States International Trade Commission by no later than **February 21, 2008**. Although the enclosed postpaid envelope may be used to return the completed questionnaire, use of an overnight mail service may be necessary to ensure that your response actually reaches the Commission by **February 21, 2008**. If you do not use the enclosed envelope, please make sure the completed questionnaire is sent to the attention of **Mary Messer**. Return <u>only one</u> copy of the completed questionnaire(s), but please keep a copy for your records so that you can refer to it if the Commission staff contacts you with any questions during the course of the review.

<u>Service of questionnaire response(s)</u>.--In the event that your firm is a party to this review, you are required to serve a copy of the questionnaire(s), once completed, on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties is maintained by the Commission's Secretary and may be obtained by calling 202-205-1803. A certificate of service must accompany the copy of the completed questionnaire(s) you submit (see 19 CFR § 207.7).

#### **GENERAL INFORMATION--Continued**

<u>Confidentiality</u>.--The commercial and financial data furnished in response to the enclosed questionnaire(s) that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, nonnumerical characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in the enclosed questionnaire(s) is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all your workpapers and supporting documents used in the preparation of the questionnaire response(s).

**Release of information**.--The information provided by your firm in response to the questionnaire(s), as well as any other business proprietary information submitted by your firm to the Commission in connection with the review, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this review or other import-injury investigations or reviews conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

#### **INSTRUCTIONS**

Answer all questions. --Do not leave any question or section blank unless a questionnaire expressly directs you to skip over certain questions or sections. If the answer to any question is "none," write "none." If information is not readily available from your records in exactly the form requested, furnish carefully prepared estimates--designated as such by the letter "E"--and explain the basis of your estimates. Answers to questions and any necessary comments or explanations should be supplied in the space provided or on separate sheets attached to the appropriate page of the questionnaire(s). If your firm is completing more than one questionnaire in connection with this review (i.e., a producer, importer, purchaser and/or foreign producer questionnaire), you need not respond to duplicated questions in the questionnaires.

<u>Consolidate all establishments in China</u>.--Report the requested data for your establishment(s) located in China. Firms operating more than one establishment should combine the data for all establishments into a single report.

#### **INSTRUCTIONS--***Continued*

*Filing instructions*.—Questionnaires may be filed either in paper form or electronically.

#### OPTIONS FOR FILING IN PAPER FORM

• Overnight mail service.—Mail to the following address:

#### United States International Trade Commission Office of Investigations, Room 615 500 E Street, SW Washington, DC 20436

- **Fax**.—Fax to 202.205.3205.
- U.S. mail.—Mail to the address above. This option is not recommended. U.S. mail sent to government offices undergoes additional processing to screen for hazardous materials; this additional processing results in substantial delays in delivery.

#### **OPTIONS FOR FILING ELECTRONICALLY**

This questionnaire is available in "fillable" MS Word form format on the Commission's website at

http://www.usitc.gov/trade\_remedy/731\_ad\_701\_cvd/investigations/2007/brake\_r\_otors/reviewphase.htm. You may complete the questionnaire electronically, print it out, and submit it in paper form as described above, or you may submit it electronically through one of the following means:

- Compact disc (CD).—Copy your questionnaire onto a CD, include a signed certification page (page 1) (either in paper form or scanned PDF copied onto CD), and mail to the address above. It is strongly recommended that you use an overnight mail service. U.S. mail sent to government offices undergoes additional processing which not only results in substantial delays in delivery but may also damage CDs.
- E-mail.—E-mail your questionnaire to the investigator identified on page 1 of the Instruction Booklet; include in the e-mail subject line: BPI Questionnaire, Inv. No. 731-TA-744 (Second Review). Please note that submitting your questionnaire by e-mail may subject your firm's business proprietary information to transmission over an unsecure environment and to possible disclosure. If you choose this option, the Commission warns you that any risk involving possible disclosure of such information is assumed by the submitter and not by the Commission.

Note: If you choose to submit this questionnaire electronically, please also include a scanned PDF of the signed certification page (page 1). Your questionnaire will not be accepted into the record without a signed certification page. In addition, if service of the questionnaire is required, such service should be made in paper form.

#### **DEFINITIONS**

**Brake rotors.**--The products covered by the antidumping duty order subject to this review are aftermarket brake rotors made of gray cast iron, whether finished, semifinished, or unfinished, ranging in diameter from 8 to 16 inches (20.32 to 40.64 centimeters) and in weight from 8 to 45 pounds (3.63 to 20.41 kilograms). The size parameters (weight and dimension) of the brake rotors limit their use to the following types of motor vehicles: automobiles, all-terrain vehicles, vans and recreational vehicles under "one ton and a half," and light trucks designated as "one ton and a half." Finished brake rotors are those that are ready for sale and installation without any further operations. Semi-finished rotors are those on which the surface is not entirely smooth, and have undergone some drilling. Unfinished rotors are those which have undergone some grinding or turning. The subject brake rotors are for motor vehicles, and do not contain in the casting a logo of an original equipment manufacturer ("OEM") which produces vehicles sold in the United States (e.g., General Motors, Ford, Chrysler, Honda, Toyota, and Volvo). Brake rotors covered by the order are not certified by OEM producers of vehicles sold in the United States. The scope also includes composite aftermarket brake rotors that are made of gray cast iron, which contain a steel plate, but otherwise meet the above criteria. Excluded from the scope of the order are brake rotors made of gray cast iron, whether finished, semifinished, or unfinished, with a diameter less than 8 inches or greater than 16 inches (less than 20.32 centimeters or greater than 40.64 centimeters) and a weight less than 8 pounds or greater than 45 pounds (less than 3.63 kilograms or greater than 20.41 kilograms). Subject brake rotors are currently covered by statistical reporting number 8708.30.5030 of the Harmonized Tariff Schedule of the United States.<sup>2</sup>

<u>Firm</u>.--An individual proprietorship, partnership, joint venture, association, corporation (including any subsidiary corporation), business trust, cooperative, trustee in bankruptcy, or receiver under decree of any court.

**Related firm**.--A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

**<u>Establishment...</u>**-Each facility of a firm in China involved in the production of aftermarket brake rotors (as defined above), including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

<sup>&</sup>lt;sup>1</sup> Also, on January 17, 2007, the Department determined the brake rotors produced by Federal-Mogul and certified by the Ford Motor Company to be excluded from the scope of the order.

<sup>&</sup>lt;sup>2</sup> The HTS statistical reporting number specific to brake rotors, HTS 8708.39.5030, was created in July 2004, although some importers may have classified brake rotors under the previous number, HTS 8708.39.5010, for several months following the change. As of January 1, 2007, the HTSUS classification for brake rotors changed from 8708.39.5030 to 8708.30.5030.

#### **<u>DEFINITIONS</u>**--Continued

<u>United States</u>.--For purposes of this review, the 50 States, Puerto Rico, the U.S. Virgin Islands, and the District of Columbia.

<u>Importer</u>.--Any person or firm engaged, either directly or through a parent company or subsidiary, in importing aftermarket brake rotors (as defined above) into the United States from a foreign manufacturer or through its selling agent.

<u>Average production capacity</u>.--The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

<u>Production</u>.--All production in your establishment(s) in China, including production consumed internally within your firm.

<u>Shipments</u>.--Shipments of products produced in your establishment(s) in China. Quantities reported should be net of returns.

<u>Home market commercial shipments</u>.--Shipments, other than internal consumption and transfers to related firms, within China.

<u>Home market internal consumption/transfers to related firms</u>.--Shipments made to related firms in China, including product consumed internally by your firm.

**Export shipments.**--Shipments to destinations outside China, including shipments to related firms.

<u>Inventories</u>.--Finished goods inventory, not raw materials or work-in-progress.