

**UNITED STATES INTERNATIONAL TRADE COMMISSION
Washington, D.C.**

In the Matter of

**CERTAIN SMART THERMOSTAT
SYSTEMS, SMART HVAC SYSTEMS,
SMART HVAC CONTROL SYSTEMS,
AND COMPONENTS THEREOF**

Investigation No. 337-TA-1258

**NOTICE OF COMMISSION DECISION NOT TO REVIEW AN INITIAL
DETERMINATION GRANTING AN UNOPPOSED MOTION FOR PARTIAL
TERMINATION OF THE INVESTIGATION AS TO A RESPONDENT BASED ON
WITHDRAWAL OF THE COMPLAINT**

AGENCY: U.S. International Trade Commission.

ACTION: Notice.

SUMMARY: Notice is hereby given that the U.S. International Trade Commission has determined not to review an initial determination (“ID”) (Order No. 13) of the presiding administrative law judge (“ALJ”) granting an unopposed motion for partial termination of the investigation as to respondent Carrier Global Corporation of Palm Beach Gardens, Florida (hereinafter, “Terminated Respondent”), based on the withdrawal of the allegations in the complaint as to that respondent.

FOR FURTHER INFORMATION CONTACT: Houda Morad, Office of the General Counsel, U.S. International Trade Commission, 500 E Street SW, Washington, DC 20436, telephone (202) 708-4716. Copies of non-confidential documents filed in connection with this investigation may be viewed on the Commission’s electronic docket (EDIS) at <https://edis.usitc.gov>. For help accessing EDIS, please email EDIS3Help@usitc.gov. General information concerning the Commission may also be obtained by accessing its Internet server at <https://www.usitc.gov>. Hearing-impaired persons are advised that information on this matter can be obtained by contacting the Commission’s TDD terminal on (202) 205-1810.

SUPPLEMENTARY INFORMATION: On April 2, 2021, the Commission instituted this investigation under section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. 1337 (“section 337”), based on a complaint filed by EcoFactor, Inc. of Palo Alto, California (“Complainant”). See 86 FR 17402-03 (Apr. 2, 2021). The complaint, as amended and supplemented, alleges a violation of section 337 based upon the importation into the United States, the sale for importation, and the sale within the United States after importation of certain smart thermostat systems, smart HVAC systems, smart HVAC control systems, and components thereof by reason of infringement of certain claims of U.S. Patent Nos. 8,423,322; 8,019,567; 10,612,983; 8,596,550; and 8,886,488. See *id.* In addition to the Terminated Respondent, the notice of investigation also names the following respondents: Ecobee Ltd. and Ecobee, Inc. of Toronto,

Canada; Google LLC of Mountain View, California; Emerson Electric Co. of St. Louis, Missouri; Honeywell International Inc. of Charlotte, North Carolina; Resideo Technologies, Inc. of Austin, Texas; Johnson Controls International, PLC of Cork, Ireland; Siemens Industry, Inc. of Buffalo Grove, Illinois; and Siemens AG of Munich, Germany. *See id.* The Office of Unfair Import Investigations is not a party to the investigation. *See id.*

On April 29, 2021, the Commission terminated respondents Emerson Electric Co., Siemens Industry, Inc., and Siemens AG based on the withdrawal of the allegations in the complaint as to those respondents. *See Order No. 3 (Apr. 12, 2021), unreviewed by Comm'n Notice (Apr. 29, 2021).* On May 11, 2021, the Commission amended the complaint and notice of investigation to add proposed respondent Johnson Controls Inc. and to terminate respondent Johnson Controls International, PLC. *See Order No. 4 (Apr. 20, 2021), unreviewed by Comm'n Notice (May 11, 2021).* On May 24, 2021, the Commission terminated respondents Honeywell International Inc. and Resideo Technologies, Inc. based on the withdrawal of the allegations in the complaint as to those respondents. *See Order No. 7 (May 13, 2021), unreviewed by Comm'n Notice (May 24, 2021).*

On July 15, 2021, Complainant filed an unopposed motion for partial termination of the investigation as to the Terminated Respondent based on the withdrawal of the complaint allegations as to that respondent. No responses to the motion were filed.

On July 16, 2021, the ALJ issued the subject ID (Order No. 13) granting the motion. In accordance with Commission Rule 210.21(a), 19 CFR 210.21(a), Complainant represents that “there are no agreements, written or oral, express or implied between [Complainant and the Terminated Respondent] concerning the subject matter of the Investigation.” *See ID at 1.* In addition, the ID finds “no extraordinary circumstances that would prevent the requested partial termination of this investigation.” *Id. at 2.* No petition for review of the subject ID was filed.

The Commission has determined not to review the subject ID. Respondent Carrier Global Corporation is terminated from the investigation.

The Commission’s vote for this determination took place on July 30, 2021.

The authority for the Commission’s determination is contained in section 337 of the Tariff Act of 1930, as amended (19 U.S.C. 1337), and in part 210 of the Commission’s Rules of Practice and Procedure (19 CFR part 210).

By order of the Commission.



Lisa R. Barton
Secretary to the Commission

Issued: July 30, 2021