

**UNITED STATES INTERNATIONAL TRADE COMMISSION
Washington, D.C.**

In the Matter of

**CERTAIN ELECTRONIC DEVICES,
INCLUDING STREAMING PLAYERS,
TELEVISIONS, SET TOP BOXES, REMOTE
CONTROLLERS, AND COMPONENTS
THEREOF**

Investigation No. 337-TA-1200

**NOTICE OF A COMMISSION DETERMINATION NOT TO REVIEW AN INITIAL
DETERMINATION PARTIALLY TERMINATING THE INVESTIGATION AS TO CERTAIN
PATENT CLAIMS AND, AS A RESULT, TERMINATING CERTAIN RESPONDENTS**

AGENCY: U.S. International Trade Commission.

ACTION: Notice.

SUMMARY: Notice is hereby given that the U.S. International Trade Commission (“Commission”) has determined not to review an initial determination (“ID”) (Order No. 67) issued by the presiding administrative law judge (“ALJ”) partially terminating the investigation as to certain asserted claims of U.S. Patent Nos. 7,589,642 (“the ’642 patent”) and, as a result, terminating the Hisense, TCL, and Funai Respondents (as defined below).

FOR FURTHER INFORMATION CONTACT: Carl P. Bretscher, Esq., Office of the General Counsel, U.S. International Trade Commission, 500 E Street S.W., Washington, D.C. 20436, telephone (202) 205-2382. Copies of non-confidential documents filed in connection with this investigation may be viewed on the Commission’s electronic docket (EDIS) at <https://edis.usitc.gov>. For help accessing EDIS, please email EDIS3Help@usitc.gov. General information concerning the Commission may also be obtained by accessing its Internet server at <https://www.usitc.gov>. Hearing-impaired persons are advised that information on this matter can be obtained by contacting the Commission’s TDD terminal on (202) 205-1810.

SUPPLEMENTARY INFORMATION: The Commission instituted this investigation on May 22, 2020, based on a complaint filed by Universal Electronics, Inc. (“UEI”) of Scottsdale, Arizona. 85 FR 31211-212 (May 22, 2020). The complaint, as supplemented, alleges violations of section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. 1337 (“Section 337”), in the importation into the United States, sale for importation, or sale in the United States after importation of certain electronic devices, including streaming players, televisions, set top boxes, remote controllers, and components thereof, by reason of infringement of one of more of the asserted claims of the ’642 patent and U.S. Patent Nos. 7,696,514 (“the ’514 patent”); 9,911,325 (“the ’325 patent”); 9,716,853 (“the ’853 patent”); 10,593,196 (“the ’196 patent”); and 10,600,317 (“the ’317 patent”). *Id.* The complaint alleges that a domestic industry exists. *Id.*

The Commission’s notice of investigation names the following respondents: Roku Inc. of Los Gatos, California (“Roku”); TCL Electronics Holdings Ltd. of New Territories, Hong Kong; Shenzhen

TCL New Technology Co. Ltd. of Shenzhen, China; TCL King Electrical Appliances Co. Ltd., Huizhou, China; TTE Technology Inc. of Corona, California; TCL Corp. of Huizhou City, China; TCL Moka Int'l Ltd. of New Territories, Hong Kong; TCL Overseas Marketing Ltd. of New Territories, Hong Kong; TCL Industries Holdings Co., Ltd. of New Territories, Hong Kong; and TCL Smart Device Co. of Bac Tan Uyen District, Vietnam (collectively, "the TCL Respondents"); Hisense Co. Ltd. of Qingdao, China; Hisense Electronics Manufacturing Co. of America Corp. of Suwanee, Georgia; Hisense Import & Export Co. Ltd. of Qingdao, China; Qingdao Hisense Electric Co., Ltd. of Qingdao, China; and Hisense International Co., Ltd. of Shen Wang, Hong Kong (collectively, "the Hisense Respondents"); Funai Electric Co., Ltd. of Osaka, Japan; Funai Corp. Inc. of Rutherford, New Jersey; and Funai Co., Ltd. of Nakhon Ratchasima, Thailand (collectively, "the Funai Respondents") (all respondents are collectively referred to as "Respondents"). *Id.* The Office of Unfair Import Investigations is not participating in this investigation. *Id.*

On December 23, 2020, the Commission partially terminated the investigation due to withdrawal of the '853 patent, claims 19 and 20 of the '196 patent, and claims 14 and 20 of the '642 patent. Order No. 27 (Dec. 2, 2020), *unreviewed by* Comm'n Notice (Dec. 23, 2020). On January 5, 2021, the Commission partially terminated the investigation with respect to claim 20 of the '514 patent. Order No. 32 (Dec. 21, 2020), *unreviewed by* Comm'n Notice (Jan. 5, 2021). On January 13, 2021, the Commission amended the notice of institution of the investigation to clarify that claims 2 and 4-5 of the '196 patent are only domestic industry claims and are not being asserted against any respondent for infringement purposes. Order No. 33 (Dec. 29, 2020), *unreviewed by* Comm'n Notice (Jan. 13, 2021). On January 21, 2021, the Commission partially terminated the investigation due to withdrawal of all asserted claims of the '317 patent, claim 6 of the '514 patent, and claims 1, 3, 4, 6, 12, and 19 of the '642 patent with respect to the Funai Respondents. Order No. 34 (Jan. 4, 2021), *unreviewed by* Comm'n Notice (Jan. 21, 2021). On February 19, 2021, the Commission partially terminated the investigation due to withdrawal of the '325 patent and the '514 patent; claims 1, 2, 4, 5, 7, 8, and 10 of the '317 patent; and claim 25 of the '642 patent against all Respondents. Order No. 44 (Feb. 2, 2021), *unreviewed by* Comm'n Notice (Feb. 19, 2021). The Commission also partially terminated the investigation due to withdrawal of claim 12 of the '642 patent with respect to the TCL Respondents and the Hisense Respondents. *Id.* On February 24, 2021, the Commission partially terminated the investigation by withdrawing all remaining asserted claims of the '317 patent and claims 1, 3, 4, and 6 of the '642 patent against the TCL Respondents and Hisense Respondents only. Order No. 49 (Feb. 9, 2021), *unreviewed by* Comm'n Notice (Feb. 24, 2021).

On February 18, 2021, the Commission determined not to review an ID entering summary determination that claim 19 of the '642 patent is practiced by the domestic industry products and infringed by the accused "Elk" series of products. Order No. 38 (Jan. 19, 2021), *unreviewed by* Comm'n Notice (Feb. 18, 2021). On February 24, 2021, the Commission determined not to review an ID entering summary determination that the technical prong of the domestic industry requirement is satisfied for claims 1-3, 5-8, and 16 of the '325 patent. Order No. 41 (Jan. 25, 2021), *unreviewed by* Comm'n Notice (Feb. 24, 2021).

On January 25, 2021, the presiding ALJ issued an ID (Order No. 40) granting Roku's motion for summary determination that UEI lacks standing to assert the '196 patent. Order No. 40 (Jan. 25, 2021). The Commission determined to review and reverse the ID's entry of summary determination, sending the '196 patent back to the ALJ for further consideration. Comm'n Notice (Feb. 24, 2021); Comm'n Op. (Mar. 3, 2021).

On April 8, 2021, the Commission partially terminated the investigation due to withdrawal of claims 6-10, 12, 16-18, 21, and 22 of the '196 patent. Order No. 66 (March 23, 2021), *unreviewed by Comm'n Notice* (April 8, 2021).

On April 5, 2021, UEI filed an unopposed motion to partially terminate the investigation by withdrawing claims 1-7, 12, and 22-24 of the '642 patent. UEI asserted that withdrawal of those claims would effectively terminate the investigation with respect to the Hisense, TCL, and Funai Respondents. *Id.*

On April 6, 2021, the presiding ALJ issued the subject ID (Order No. 67) pursuant to Commission Rule 210.21(a) (19 CFR 210.21(a)), granting UEI's unopposed motion. The subject ID finds there are no other agreements, written or oral, express or implied, between the parties concerning the subject matter of the investigation, and no extraordinary circumstances that would prevent the partial termination of this investigation by withdrawal of the listed claims and thus termination of the Hisense, TCL, and Funai Respondents.

No petition to review the subject ID was filed.

The Commission has determined not to review the subject ID. Accordingly, the investigation is partially terminated as to claims 1-7, 12, and 22-24 of the '642 patent and, as a result, is terminated as to the Hisense, TCL, and Funai Respondents.

The Commission vote for this determination took place on April 22, 2021.

The authority for the Commission's determination is contained in section 337 of the Tariff Act of 1930, as amended (19 U.S.C. 1337), and in Part 210 of the Commission's Rules of Practice and Procedure (19 CFR Part 210).

By order of the Commission.



Lisa R. Barton
Secretary to the Commission

Issued: April 22, 2021