UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN CONSUMER ELECTRONIC DEVICES, INCLUDING TELEVISIONS, GAMING CONSOLES, MOBILE PHONES AND TABLETS, AND NETWORK-ENABLED DVD AND BLU-RAY PLAYERS

Inv. No. 337-TA-1060

INSTITUTION OF INVESTIGATION

Institution of Investigation pursuant to 19 U.S.C.1337

AGENCY: U.S. International Trade Commission

ACTION: Notice

SUMMARY: Notice is hereby given that a complaint was filed with the U.S. International Trade Commission on May 9, 2017, under section 337 of the Tariff Act of 1930, as amended, on behalf of ARRIS Enterprises LLC of Suwanee, Georgia. Supplements were filed on May 26, 2017. The complaint alleges violations of section 337 based upon the importation into the United States, the sale for importation, and the sale within the United States after importation of certain consumer electronic devices, including televisions, gaming consoles, mobile phones and tablets, and network-enabled DVD and Blu-ray players by reason of infringement of U.S. Patent No. 6,473,858 ("the '858 patent"); U.S. Patent No. 6,934,148 ("the '148 patent"); U.S. Patent No. 7,113,502 ("the '502 patent"); U.S. Patent No. 7,752,564 ("the '564 patent"); U.S. Patent No. 8,300,156 ("the '156 patent"); and U.S. Patent No. 9,521,466 ("the '466 patent"). The complaint further alleges that an industry in the United States exists as required by the applicable Federal Statute.

The complainant requests that the Commission institute an investigation and, after the investigation, issue a limited exclusion order and cease and desist orders.

ADDRESSES: The complaint, except for any confidential information contained therein, is available for inspection during official business hours (8:45 a.m. to 5:15 p.m.) in the Office of the Secretary, U.S. International Trade Commission, 500 E Street, S.W., Room 112, Washington, D.C. 20436, telephone (202) 205-2000. Hearing impaired individuals are advised that information on this matter can be obtained by contacting the Commission's TDD terminal on (202) 205-1810. Persons with mobility impairments who will need special assistance in gaining access to the Commission should contact the Office of the Secretary at (202) 205-2000. General information concerning the Commission may also be obtained by accessing its internet server at

<u>https://www.usitc.gov</u>. The public record for this investigation may be viewed on the Commission's electronic docket (EDIS) at <u>https://edis.usitc.gov</u>.

FOR FURTHER INFORMATION CONTACT: Pathenia M. Proctor, The Office of Unfair Import Investigations, U.S. International Trade Commission, telephone (202) 205-2560.

SUPPLEMENTARY INFORMATION:

AUTHORITY: The authority for institution of this investigation is contained in section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. 1337 and in section 210.10 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.10 (2017).

SCOPE OF INVESTIGATION: Having considered the complaint, the U.S. International Trade Commission, on June 6, 2017, **ORDERED THAT** –

(1) Pursuant to subsection (b) of section 337 of the Tariff Act of 1930, as amended, an investigation be instituted to determine whether there is a violation of subsection (a)(1)(B) of section 337 in the importation into the United States, the sale for importation, or the sale within the United States after importation of certain consumer electronic devices, including televisions, gaming consoles, mobile phones and tablets, and network-enabled DVD and Blu-ray players by reason of infringement of one or more of claims 29, 33-39, 42, and 43 of the '858 patent; claims 1, 2, and 4 of the '148 patent; claims 1-6, 18-21, and 34-37 of the '502 patent; claims 1-6 and 8-22 of the '564 patent; claims 1-6, 9, and 11-31 of the '156 patent; and claims 1-5, 7, 8, 12, and 15-17 of the '466 patent, and whether an industry in the United States exists as required by subsection (a)(2) of section 337;

(2) For the purpose of the investigation so instituted, the following are hereby named as parties upon which this notice of investigation shall be served:

(a) The complainant is:

ARRIS Enterprises LLC 3871 Lakefield Drive Suwanee, GA 30024

(b) The respondents are the following entities alleged to be in violation of section 337, and are the parties upon which the complaint is to be served:

Sony Corporation 1-7-1 Konan Minato-ku Tokyo, 108-0075 Japan

Sony Corporation of America 25 Madison Avenue New York, NY 10010-8601 Sony Electronics Inc. 16535 Via Esprillo San Diego, CA 92127

Sony Interactive Entertainment, Inc. 1-7-1 Konan Minato-ku Tokyo, 108-0075 Japan

Sony Mobile Communications (USA), Inc. 2207 Bridgepointe Parkway San Mateo, CA 94404

Sony Interactive Entertainment LLC 2207 Bridgepointe Parkway San Mateo, CA 94404

Sony Interactive Entertainment America LLC 2207 Bridgepointe Parkway San Mateo, CA 94404

(c) The Office of Unfair Import Investigations, U.S. International Trade Commission, 500 E Street, S.W., Suite 401, Washington, D.C. 20436; and

(3) For the investigation so instituted, the Chief Administrative Law Judge, U.S. International Trade Commission, shall designate the presiding Administrative Law Judge.

Responses to the complaint and the notice of investigation must be submitted by the named respondents in accordance with section 210.13 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.13. Pursuant to 19 C.F.R. 201.16(e) and 210.13(a), such responses will be considered by the Commission if received not later than 20 days after the date of service by the Commission of the complaint and the notice of investigation. Extensions of time for submitting responses to the complaint and the notice of investigation will not be granted unless good cause therefor is shown.

Failure of a respondent to file a timely response to each allegation in the complaint and in this notice may be deemed to constitute a waiver of the right to appear and contest the allegations of the complaint and this notice, and to authorize the administrative law judge and the Commission, without further notice to the respondent, to find the facts to be as alleged in the

complaint and this notice and to enter an initial determination and a final determination containing such findings, and may result in the issuance of an exclusion order or a cease and desist order or both directed against the respondent.

By order of the Commission.

13.

Lisa R. Barton Secretary to the Commission

Issued: June 7, 2017