

**UNITED STATES INTERNATIONAL TRADE COMMISSION**  
**Washington, D.C.**

**In the Matter of**

**CERTAIN DIGITAL CAMERAS,  
SOFTWARE, AND COMPONENTS  
THEREOF**

**Inv. No. 337-TA-1059**

**INSTITUTION OF INVESTIGATION**

Institution of investigation pursuant to 19 U.S.C. § 1337

AGENCY: U.S. International Trade Commission

ACTION: Notice

SUMMARY: Notice is hereby given that a complaint was filed with the U.S. International Trade Commission on April 28, 2017, under section 337 of the Tariff Act of 1930, as amended, on behalf of Carl Zeiss AG of Germany and ASML Netherlands B.V. of the Netherlands. A supplement to the complaint was filed on May 17, 2017. The complaint alleges violations of section 337 based upon the importation into the United States, the sale for importation, and the sale within the United States after importation of certain digital cameras, software, and components thereof by reason of infringement of certain claims of U.S. Patent No. 6,301,440 (“the ’440 patent”); U.S. Patent No. 6,463,163 (“the ’163 patent”); U.S. Patent No. 6,714,241 (“the ’241 patent”); U.S. Patent No. 6,731,335 (“the ’335 patent”); U.S. Patent No. 6,834,128 (“the ’128 patent”); U.S. Patent No. 7,297,916 (“the ’916 patent”); and U.S. Patent No. 7,933,454 (“the ’454 patent”). The complaint further alleges that an industry in the United States is in the process of being established as required by the applicable Federal Statute.

The complainants request that the Commission institute an investigation and, after the investigation, issue a limited exclusion order and cease and desist orders.

ADDRESSES: The complaint, except for any confidential information contained therein, is available for inspection during official business hours (8:45 a.m. to 5:15 p.m.) in the Office of the Secretary, U.S. International Trade Commission, 500 E Street, S.W., Room 112, Washington, D.C. 20436, telephone (202) 205-2000. Hearing impaired individuals are advised that information on this matter can be obtained by contacting the Commission’s TDD terminal on (202) 205-1810. Persons with mobility impairments who will need special assistance in gaining access to the Commission should contact the Office of the Secretary at (202) 205-2000. General information concerning the Commission may also be obtained by accessing its internet server at <https://www.usitc.gov>. The public record for this investigation may be viewed on the Commission's electronic docket (EDIS) at <https://edis.usitc.gov>.

FOR FURTHER INFORMATION CONTACT: The Office of Docket Services, U.S. International Trade Commission, telephone (202) 205-1802.

AUTHORITY: The authority for institution of this investigation is contained in section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. 1337 and in section 210.10 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.10 (2017).

SCOPE OF INVESTIGATION: Having considered the complaint, the U.S. International Trade Commission, on May 26, 2017, ORDERED THAT –

(1) Pursuant to subsection (b) of section 337 of the Tariff Act of 1930, as amended, an investigation be instituted to determine whether there is a violation of subsection (a)(1)(B) of section 337 in the importation into the United States, the sale for importation, or the sale within the United States after importation of certain digital cameras, software, and components thereof by reason of infringement of one or more of claims 1-4, 6-10, 12-14, 16-19, 21-28, 30-35, 37-44, 46-50, and 52-56 of the '440 patent; claims 1-4, 6, 7, 9-11, 14-16, and 19 of the '163 patent; claims 1-3, 5-12, and 14-18 of the '241 patent; claims 1-12 of the '335 patent; claims 1, 2, 4, 5, 12, 13, 16, 17, and 19 of the '128 patent; claims 1-9 of the '916 patent; and claims 1, 2, 4-12, and 16-28 of the '454 patent, and whether an industry in the United States is in the process of being established as required by subsection (a)(2) of section 337;

(2) For the purpose of the investigation so instituted, the following are hereby named as parties upon which this notice of investigation shall be served:

(a) The complainants are:

Carl Zeiss AG  
Carl-Zeiss-Straße  
Oberkochen, Germany 73447

ASML Netherlands B.V.  
De Run 6501  
5504DR Veldhoven  
Netherlands

(b) The respondents are the following entities alleged to be in violation of section 337, and are the parties upon which the complaint is to be served:

Nikon Corporation  
Shinagawa Intercity Tower C  
2-15-3  
Konan  
Minato-ku  
Tokyo 108-6290, Japan

Sendai Nikon Corporation  
277  
Aza-hara  
Tako  
Natori  
Miyagi 981-1221, Japan

Nikon Inc.  
1300 Walt Whitman Road  
Melville, NY 11747-3064

Nikon (Thailand) Co., Ltd.  
1/42 Moo 5, Rojana Industrial Park, Rojana  
Road, Tambol Kanham, Amphur U-Thai,  
Ayutthaya 13210, Thailand

Nikon Imaging (China) Co., Ltd.  
No. 11, Changjian South Road, New  
District, Wuxi, Jiangsu 214028, China

PT Nikon Indonesia  
35<sup>th</sup> Floor, Wisma 46-Kota BNI  
Jl. Jend. Sudirman Kav. 1  
Jakarta, 10220, Indonesia

(3) For the investigation so instituted, the Chief Administrative Law Judge, U.S. International Trade Commission, shall designate the presiding Administrative Law Judge.

The Office of Unfair Import Investigations will not participate as a party in this investigation.

Responses to the complaint and the notice of investigation must be submitted by the named respondents in accordance with section 210.13 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.13. Pursuant to 19 C.F.R. 201.16(e) and 210.13(a), such responses will be considered by the Commission if received not later than 20 days after the date of service by the Commission of the complaint and the notice of investigation. Extensions of time for submitting responses to the complaint and the notice of investigation will not be granted unless good cause therefor is shown.

Failure of a respondent to file a timely response to each allegation in the complaint and in this notice may be deemed to constitute a waiver of the right to appear and contest the allegations of the complaint and this notice, and to authorize the administrative law judge and the Commission, without further notice to the respondent, to find the facts to be as alleged in the

complaint and this notice and to enter an initial determination and a final determination containing such findings, and may result in the issuance of an exclusion order or a cease and desist order or both directed against the respondent.

By order of the Commission.

A handwritten signature in black ink, appearing to read 'Lisa R. Barton'.

Lisa R. Barton  
Secretary to the Commission

Issued: May 26, 2017